

CSD/SE/ASCR/2022-23  
May 26, 2022

**To**  
**The Manager**  
**Department of Corporate Services**  
**BSE Limited**  
**25th Floor, P. J. Towers,**  
**Dalal Street, Mumbai - 400 001**

**To**  
**The Manager**  
**Listing Department**  
**National Stock Exchange of India Limited**  
**Exchange Plaza, Bandra Kurla Complex**  
**Bandra (E), Mumbai – 400 051**

**Scrip Code: 543064**

**Scrip Symbol: SUVENPHAR**

Dear Sir/Madam,

**Sub: Submission of Annual Secretarial Compliance Report for the financial year ended 31st March, 2022**

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With reference to above subject, please find enclosed herewith the Annual Secretarial Compliance Report issued by Mrs. D. Renuka, Practicing Company Secretary, for the financial year ended 31st March, 2022, under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019.

We request you to take this document on your record.

Thanking you,  
Yours faithfully,  
For **SUVEN PHARMACEUTICALS LIMITED**

  
**K Hanumantha Rao**  
Company Secretary

Encl: as above

**Suven Pharmaceuticals Limited**

**SECRETARIAL COMPLIANCE REPORT OF SUVEN PHARMACEUTICALS LIMITED  
FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

To  
**Suven Pharmaceuticals Limited**  
Hyderabad

I, D. Renuka have examined:

- (a) all the documents and records made available to us and explanation provided by **Suven Pharmaceuticals Limited** ("the listed entity").
- (b) the filings /submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) The Securities Contracts (regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a). Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b). Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c). Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d). Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the listed entity during the review period)



**OFFICE :**

Plot No. 143, Flat No. 301, SV's Kausalya Complex, Rajeev Nagar, Hyderabad - 45.

- (e). Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f). Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;(Not applicable to the listed entity during the review period)
- (g). Securities and Exchange Board of India (issue and Listing of Non-Convertible and redeemable preference Share) Regulations, 2013;(Not applicable to the listed entity during the review period)
- (h). Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i). Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018; and circulars/ guidelines issued thereunder;

Andbased on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance requirement (Regulations/Circulars/guide lines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary.
1	As per Regulation 19(1)/19(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	For the quarter ended 31 <sup>st</sup> March, 2022 the Nomination & Remuneration Committee (NRC) of board of directors of the Company is not duly constituted.	1) The constitution of Nomination and Remuneration Committee was not in accordance with SEBI LODR, 2015 for the quarter ended 31 <sup>st</sup> March, 2022. 2) The changes carried out in the composition of the NRC w.e.f. 1 <sup>st</sup> April 2022 were complying with the said provisions of Regulation 19(1)/19(2) of SEBI LODR Regulations as amended.

- (b) The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.



(c) The following are the details of actions taken against the listed entity / its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the standard operating procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter,debarment,etc.			Observations/ remarks of the practicing Company Secretary,if any.
1	BSE Limited and National Stock Exchange of India Limited	Non-compliance with the provisions of Regulation 19(1)/19(2) of SEBI (LODR) Regulations, 2015 for the quarter ended March 2022	Date of Penalty imposed	Penalty imposed by BSE (Rs.)	Penalty imposed by NSE (Rs.)	The company has paid the fine toBSE on 23/05/2022 and NSE on 21/05/2022 as on the report date.
			20/05/2022	212,400*	212,400*	

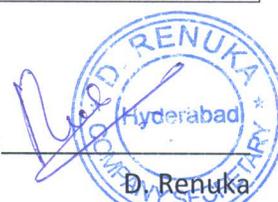
\* including GST @18%

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observation made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021.	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the on the action taken by the listed entity
NIL				

Place: Hyderabad  
Date: May 26, 2022

Signature: \_\_\_\_\_



Practicing Company Secretary

M. No. A11963

CP NO: 3460

UDIN: A011963D000395049

ICSI Peer Review UIN: I2000TL172900